

## **SHAREHOLDING PATTERN ALICON CASTALLOY LIMITED AS ON March 31, 2019**

1. Name of Listed Entity: Alicon Castalloy Limited
2. Scrip Code/Name of Scrip/Class of Security: ALICON
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

<b>S. No.</b>	<b>Particulars</b>	<b>Yes/No</b>	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share (III)	No. of fully paid up shares held (IV)	No. of Par share held (V)	No. of share Deposited (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % (calculated per 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % of securities (including diluted shares) (XI) = $\frac{(A+B+C)}{(A+B+C2)}$	Number of Locked (XII)		Number of Shares otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	13	8701413	0	0	8701413	63.79	8701413	0	8701413	63.79	0	63.79	0	0.00	0	0.00	8701413
B	Public	5438	4938708	0	0	4938708	36.21	4938708	0	4938708	36.21	0	36.21	860000	17.41			4869951
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0.00	0		0	0.00			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0		0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	<b>Total</b>	<b>5451</b>	<b>13640121</b>	<b>0</b>	<b>0</b>	<b>13640121</b>	<b>100.00</b>	<b>13640121</b>	<b>0</b>	<b>13640121</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>860000</b>	<b>6.30</b>	<b>0</b>	<b>0.00</b>	<b>13571364</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depositor Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights each class of securities (IX)			No. of Shares Under Outstanding convert securities (including Warra) (X)	Shareholding, as assumed full conversion of securities as a percentage of share capital (VII)+(X) % of A+B+C2 (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerial form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held	No. (a)		As a % of total shares held (b)	
								As a % of (A+B+C2) (VIII)	Class X	Class Y									Total
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Individuals/Hindu undivided Family		7	834620	0	0	834620	6.12	834620	0	834620	6.12	0	6.12	0	0.00	0	0.00	834620
	VINITA CHANDRA	AADPC1123B	1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	0	0.00	20
	DIVYA S RAI	AJTPR5837N	1	12	0	0	12	0.00	12	0	12	0.00	0	0.00	0	0.00	0	0.00	12
	MEENAL GIDWANI	AAEPG3863D	1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	0	0.00	20
	ISHAAN S RAI	AKGPR6157F	1	12	0	0	12	0.00	12	0	12	0.00	0	0.00	0	0.00	0	0.00	12
	SHAILENDRA RAI	AABPR5786K	1	834444	0	0	834444	6.12	834444	0	834444	6.12	0	6.12	0	0.00	0	0.00	834444
	SHEFALI S RAI	AJTPR5838D	1	12	0	0	12	0.00	12	0	12	0.00	0	0.00	0	0.00	0	0.00	12
	USHA RAI	ADWPR9414J	1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)		6	7866793	0	0	7866793	57.67	7866793	0	7866793	57.67	0	57.67	0	0.00	0	0.00	7866793
	Bodies Corporate		6	7866793	0	0	7866793	57.68	7866793	0	7866793	57.68	0	57.68	0	0.00	0	0.00	7866793
	Sub-Total (A)(1)		13	8701413	0	0	8701413	63.79	8701413	0	8701413	63.79	0	63.79	0	0.00	0	0.00	8701413
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

	Resident Individuals/ Foreign Individuals)																		
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	8701413	0	0	8701413	63.79	8701413	0	8701413	63.79	0	63.79	0	0.00	0	0.00	8701413
	U. C. RAI HOLDINGS PRIVATE LIMITED	AAACU0654K	1	340998	0	0	340998	2.50	340998	0	340998	2.50	0	2.50	0	0.00	0	0.00	340998
	SKYBLUE TRADING AND INVESTMENTS P LTD	AAECS5669L	1	254880	0	0	254880	1.87	254880	0	254880	1.87	0	1.87	0	0.00	0	0.00	254880
	PAMELA TRADING LLP	AANFP2396M	1	286000	0	0	286000	2.10	286000	0	286000	2.10	0	2.10	0	0.00	0	0.00	286000
	MITHRAS TRADING LLP	AAWFM6305L	1	122273	0	0	122273	0.90	122273	0	122273	0.90	0	0.90	0	0.00	0	0.00	122273
	NASTIC TRADING LLP	AAJFN0095R	1	6762822	0	0	6762822	49.58	6762822	0	6762822	49.58	0	49.58	0	0.00	0	0.00	6762822
	ATLAS CASTALLOY LIMITED	AABCA0742K	1	99820	0	0	99820	0.73	99820	0	99820	0.73	0	0.73	0	0.00	0	0.00	99820

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including	Total share holding, as a % assuming full conversion	Number of Locked in shares (XII)		Number of Shares pledged or		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting	No.	As a % of total Shares	No. (Not applicable)		As a % of total shares held
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
c	Alternate Investment Funds		2	14878	0	0	14878	0.11	14878	0	14878	0.11	0	0.11	0	0.00		7545	
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
f	Financial Institutions/ Banks		2	3368	0	0	3368	0.02	3368	0	3368	0.02	0	0.02	0	0.00		3366	
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
	Sub-Total (B)(1)		4	18246	0	0	18246	0.13	18246	0	18246	0.13	0	0.13	0	0.00		10911	
2	Central Government/ State Government(s)/ President of		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	

	India																		
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals -		4947	2511727	0	0	2511727	18.41	2511727	0	2511727	18.41	0	18.41	0	0.00			2450305
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4943	1767030	0	0	1767030	12.95	1767030	0	1767030	12.95	0	12.95	0	0.00			1705608
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	744697	0	0	744697	5.46	744697	0	744697	5.46	0	5.46	0	0.00			744697
	RAJEEV SIKAND	AWVPS0104N	1	370638	0	0	370638	2.72	370638	0	370638	2.72	0	2.72	0	0.00			370638
	NIRAV MAHENDRA SHETH	AALPS6939F	1	145509	0	0	145509	1.07	145509	0	145509	1.07	0	1.07	0	0.00			145509
	VIMAL GUPTA	AHEPG5645E	1	183550	0	0	183550	1.35	183550	0	183550	1.35	0	1.35	0	0.00			183550
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		487	2408735	0	0	2408735	17.66	2408735	0	2408735	17.66	0	17.66	860000	35.70			2408735
	Trusts		1	600	0	0	600	0.00	600	0	600	0.00	0	0.00	0	0.00			600
	Others		1	1960000	0	0	1960000	14.37	1960000	0	1960000	14.37	0	14.37	8600000	43.88			1960000
	LLP		6	51191	0	0	51191	0.38	51191	0	51191	0.38	0	0.38	0	0.00			51191
	Non-Resident Indian (NRI)		159	134849	0	0	134849	0.99	134849	0	134849	0.99	0	0.99	0	0.00			134849
	IEPF		1	30105	0	0	30105	0.22	30105	0	30105	0.22	0	0.22	0	0.00			30105
	Bodies Corporate		70	81969	0	0	81969	0.60	81969	0	81969	0.60	0	0.60	0	0.00			81969
	Clearing		43	36812	0	0	36812	0.27	36812	0	36812	0.27	0	0.27	0	0.00			36812

Members																			
HUF		206	113209	0	0	113209	0.83	113209	0	113209	0.83	0	0.83	0	0.00				113209
Sub-Total (B)(3)		5434	492046	0	0	492046	36.07	492046	0	492046	36.07	0	36.07	86000	17.48				4859040
			2					2						0					
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		5438	493870	0	0	493870	36.21	493870	0	493870	36.21	0	36.21	86000	17.41				4869951
			8					8						0					
ENKEI CORPORATION	AACCE 4515D	1	196000	0	0	196000	14.37	196000	0	196000	14.37	0	14.37	86000	43.88				1960000
			0					0						0					

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialize form (XIV) (Not Applicable)	
								As a % of (A+B+C2) (VIII)	No of Voting Rights				No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0		0	0	0	0.00	0		0	0.00			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0		0	0	0	0.00	0		0	0.00			0



Table II- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>