

**Form No. MGT-9****EXTRACT OF ANNUAL RETURN****as on the financial year ended on March 31, 2019**

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

<b>Sr. No</b>	<b>Particulars</b>	<b>Information</b>
i.	CIN	<b>L99999PN1990PLC059487</b>
ii.	Registration Date	<b>19/12/1990</b>
iii.	Name of the Company	<b>ALICON CASTALLOY LTD</b>
iv.	Category / Sub-Category of the Company	<b>Company Limited by Shares/ Indian Non-Government Company</b>
v.	Address of the Registered Office and Contact details	<b>Survey No.1426, Village Shikrapur, Taluka Sirur, Dist. Pune - 412 208, Maharashtra</b>
Vi	Whether listed Company, Yes / No	<b>Yes</b>
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	<b>Universal Capital Securities Pvt. Ltd., 21, Shakil Niwas, Mahakali Caves Road, Andheri (East), Mumbai - 400 093 Tel: 022-28207203</b>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

<b>Sr. No</b>	<b>Name and Description of Main Products / Services</b>	<b>NIC Code of the Product / Service</b>	<b>% to Total Turnover of the Company</b>
1	Aluminium alloy castings	2008-24320	100

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :**

<b>Sr. No.</b>	<b>Name and Address of the Company</b>	<b>CIN/GLN</b>	<b>Holding / Subsidiary / Associate</b>	<b>% of Shares held</b>	<b>Applicable Section</b>
1	Alicon Holding GmbH	--	Subsidiary	100	2(87)(ii)
2	Illichmann Castalloy S.R.O	--	Subsidiary	100	2(87)(ii)
3	Illichmann Castalloy GmbH	--	Subsidiary	100	2(87)(ii)



(specify)									
<b>Sub-total (B)(1):-</b>	<b>2383</b>	<b>0</b>	<b>2383</b>	<b>0.02</b>	<b>10911</b>	<b>7335</b>	<b>18246</b>	<b>0.13</b>	<b>-0.11</b>
<b>2. Non-Institutions</b>									
a)Bodies Corporate									
i) Indian	299742	1000	299742	2.25	81969	0	81969	0.60	1.65
ii) Overseas	0	0	0	0	0	0	0	0.00	0
b) Individuals									
i) Individual shareholders holding nominal share capital up to Rs. 1/- Lakh	1561984	113222	1561984	12.53	1705608	61422	1767030	12.95	-0.42
ii)Individual shareholders holding nominal share capital in excess of Rs. 1/- Lakh	552620	0	552620	4.13	744697	0	744697	5.46	-1.33
<b>Any others (specify)</b>									
NRI/OCB	138982	0	138982	1.04	134849	0	134849	0.99	0.05
Clearing members	33964	0	33964	0.26	36812	0	36812	0.37	-0.11
Directors & relatives	200	0	200	0	0	0	0	0.00	0
Foreign collaborators	1960000	0	1960000	14.67	1960000	0	1960000	14.37	0.3
Others	0	0	0	0	195105	0	195105	1.34	-1.34
<b>Sub-total (B)(2):-</b>	<b>4547492</b>	<b>114222</b>	<b>4661714</b>	<b>34.88</b>	<b>4859040</b>	<b>61422</b>	<b>4920462</b>	<b>36.08</b>	<b>-1.2</b>
<b>Total Public Shareholding (B)=(B) (1)+ (B)(2)</b>	<b>4549875</b>	<b>114222</b>	<b>4664097</b>	<b>34.9</b>	<b>4869951</b>	<b>68757</b>	<b>4938708</b>	<b>36.21</b>	<b>-1.31</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Grand Total (A+B+C)</b>	<b>13251288</b>	<b>114222</b>	<b>13365510</b>	<b>100</b>	<b>13571364</b>	<b>68757</b>	<b>13640121</b>	<b>100.00</b>	<b>0</b>

**(ii) Shareholding of Promoters:**

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the Company	% of Shares pledged / encumbered to total Shares	No. of Shares	% of total Shares of the Company	% of Shares pledged / encumbered to total Shares	
1	S. Rai	834444	6.24	0	834444	6.12	0	<b>0.12</b>
2	Nastic Trading LLP	6762822	50.6	0	6762822	49.57	0	<b>1.03</b>
3	Pamela Trading LLP	286000	2.14	0	286000	2.10	0	<b>0.04</b>
4	Atlas Castalloy Ltd.	99820	0.75	0	99820	0.73	0	<b>0.02</b>
5	Skyblue Trading & Invt. Pvt. Ltd.	254880	1.91	0	254880	1.87	0	<b>0.04</b>
6	U.C. Rai Holding Pvt. Ltd	340998	2.55	0	340998	2.50	0	<b>0.05</b>
7	Usha Rai	100	Negligible	0	100	Negligible	0	Negligible
8	Meenal Gidwani	20	Negligible	0	20	Negligible	0	Negligible
9	Vinita Chandra	20	Negligible	0	20	Negligible	0	Negligible
10	Mithras Trading LLP	122273	0.91	0	122273	0.90	0	<b>0.01</b>
11	Divya Rai	12	Negligible	0	12	Negligible	0	Negligible
12	Shefali Rai	12	Negligible	0	12	Negligible	0	Negligible
13	Ishaan Rai	12	Negligible	0	12	Negligible	0	Negligible
<b>Total</b>		<b>8701413</b>	<b>65.1</b>	<b>0</b>	<b>8701413</b>	<b>63.79</b>	<b>0</b>	<b>1.31</b>

**(iii) Change in Promoters' Shareholding (please specify, if there is no change):**

Sr. No.	Name and PAN	Shareholding at the beginning of the year		Date	Increase / Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	<b>Mr. S. Rai</b>						
	At the Beginning of the year	834444	6.27	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	834444	6.12	31/03/2019			
2	<b>Nastic Trading LLP</b>						
	At the Beginning of the year	6762822	50.60	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the				-	-	-

	Year						
	At the End of the year	6762822	49.57	31/03/2019			
3	<b>Pamela Trading LLP</b>						
	At the Beginning of the year	286000	2.14	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	286000	2.10	31/03/2019			
4	<b>Atlas Castalloy Ltd.</b>						
	At the Beginning of the year	99820	0.75	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	99820	0.73	31/03/2019			
5	<b>Skyblue Trading &amp; Invt. P. Ltd.</b>						
	At the Beginning of the year	254880	1.91	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	254880	1.87	31/03/2019			
6	<b>U.C. Rai Holding P. Ltd</b>						
	At the Beginning of the year	340998	2.55	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	--	-
	At the End of the year	340998	2.50	31/03/2019			
7	<b>Mrs. Usha Rai</b>						
	At the Beginning of the year	100	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	100	Negligible	31/03/2019			
8	<b>Mrs. Meenal Gidwani</b>						
	At the Beginning of the year	20	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	20	Negligible	31/03/2019			
9	<b>Mrs. Vinita Chandra</b>						
	At the Beginning of the year	20	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	20	Negligible	31/03/2019			
10	<b>Mithras Trading LLP</b>						
	At the Beginning of the year	122273	0.91	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the				-	-	-

	Year						
	At the End of the year	122273	0.90	31/03/2019			
11	<b>Divya Rai</b>						
	At the Beginning of the year	12	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	12	Negligible	31/03/2019			
12	<b>Shefali Rai</b>						
	At the Beginning of the year	12	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	12	Negligible	31/03/2019			
13	<b>Ishaan Rai</b>						
	At the Beginning of the year	12	Negligible	01/04/2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	12	Negligible	31/03/2019			

**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. No.	Name of Shareholder	Shareholding at the beginning of the year		Date	Increase /Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	<b>ENKEI CORPORATION</b>						
	At the Beginning of the year	1960000	14.37	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	1960000	14.37	31-Mar-2019			
2	<b>NIRAV MAHENDRA SHETH</b>						
	At the Beginning of the year	145509	1.07	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	145509	1.07	31-Mar-2019			
3	<b>SAVITHA RAGHAVAN</b>						
	At the Beginning of the year	46210	0.34	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding				-	-	-

	during the Year						
	At the End of the year	46210	0.34	31-Mar-2019			
4	<b>ASHWANI KUMAR</b>						
	At the Beginning of the year	45000	0.33	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	45000	0.33	31-Mar-2019			
5	<b>SMITA DIVYESH SHAH</b>						
	At the Beginning of the year	30923	0.23	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	30923	0.23	31-Mar-2019			
6	<b>VIJAY MOHAN KARNANI</b>						
	At the Beginning of the year	26000	0.29	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	26000	0.29	31-Mar-2019			
7	<b>ZELUS ADVISORS LLP</b>						
	At the Beginning of the year	24472	0.18	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	24472	0.18	31-Mar-2019			
8	<b>SUNVISION ADVISORS LLP</b>						
	At the Beginning of the year	23676	0.17	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	23676	0.17	31-Mar-2019			
9	<b>DEVINDRA NATH</b>						
	At the Beginning of the year	21000	0.15	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	21000	0.15	31-Mar-2019			
10	<b>STANISLAUS SIRVEL</b>						
	At the Beginning of the year	19000	0.14	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year	19000	0.14	31-Mar-2019			

**(v) Shareholding of Directors and Key Managerial Personnel:**

Sr. No.	Name of Director / KMP	Shareholding at the beginning of the year		Date	Increase /Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	<b>Mr. S. Rai, Managing Director</b>						
	At the Beginning of the year	834,444	6.24	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	834,444	6.12	31-Mar-2019			
2	<b>Mrs. Pamela S. Rai, Director</b>						
	At the Beginning of the year	--	--	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	--	--	31-Mar-2019			
3	<b>Mr. Anil D. Harollikar, Director</b>						
	At the Beginning of the year	200		1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	200	Negligible	31-Mar-2019			
4	<b>Mr. Vinay H. Panjabi, Director</b>						
	At the Beginning of the year	--	--	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	--	--	31-Mar-2019			
5	<b>Mr. Ajay Nanavati, Director</b>						
	At the Beginning of the year	--	--	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	--	--	31-Mar-2019			
6	<b>Mr. Junichi Suzuki, Director</b>						
	At the Beginning of the year	--	--	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--



	At the End of the year	--	--	31-Mar-2019			
7	<b>Mr. Rajeev Sikand, CEO</b>						
	At the Beginning of the year	178,638	1.31	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year			21-Dec-2018	192,000	370,638	2.72
	At the End of the year	370,638	2.72	31-Mar-2019			
8	<b>Mr. Vimal Gupta, CFO</b>						
	At the Beginning of the year	105,550	0.77	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year			21-Dec-2018	183,550	289,100	1.35
	At the End of the year	289,100	1.35	31-Mar-2019			
9	<b>Mr. P S Rao, Company Secretary</b>						
	At the Beginning of the year	--	--	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	NA	NA	31-Mar-2019			
10	<b>Mrs. Swapnal Patane, Company Secretary</b>						
	At the Beginning of the year	NA	NA	1-Apr-2018			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year	--	--	31-Mar-2019			

#### V. INDEBTEDNESS :

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

Particulars	Secured Loans Excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year (01/04/2018)</b>				
i) Principal Amount	23,372	1,156	-	24,528
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	78	-	-	78
Total (i+ii+iii)	23,450	1,156	-	24,606
<b>Change in Indebtedness during the financial year</b>				

Addition	5,719	1,071	-	6,790
Reduction	2,836	37	-	2,873
Net Change	2,883	1,034	-	3,917
<b>Indebtedness at the end of the financial year (31/03/2019)</b>				
i) Principal Amount	26,333	2,190	-	28,523
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	70	-	-	70
<b>Total (i+ii+iii)</b>	<b>26,403</b>	<b>2,190</b>	<b>-</b>	<b>28,592</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Rs in Lakhs)

Sr. No.	Particulars of Remuneration	Mr. S. Rai, Managing Director
1	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	47.12
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.90
	c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0
2	Stock Option	0
3	Sweat Equity	0
4	Commission	
	- as % of profit	26.54
	- Others, specify	0
5	Others, please specify	0
	<b>Total (A)</b>	<b>74.56</b>

**B. Remuneration to other Directors :**

(Rs in Lakhs)

Sr. No.	Particulars of Remuneration	Mr. A. D. Harolikar	Mr. Ajay Nanavati	Mrs. P. Rai	Mr. Vinay Panjabi	Mr. Junichi Suzuki	Total Amount	
1	Independent Directors Fee for attending board / committee meetings Commission Others, please specify	2.60	1.30	-	2.45	-		
	<b>Total (1)</b>	2.60	1.30	-	2.45	-	6.35	
2	Other Non-Executive Directors Fee for attending board / committee meetings Commission Others, please specify	-	-	1.70	-	-	1.70	
	<b>Total (2)</b>	-	-	1.70	-	-	1.70	
	<b>Total (B)=(1+2)</b>						8.05	
	Total Managerial Remuneration							82.61

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Sr. No.	Particulars of Remuneration	Mr. Rajeev Sikand, CEO	Mr. Vimal Gupta, CFO	Mr. P. S. Rao, Company Secretary	Total
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	171.56	57.66	3.33	232.55
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	20.29	4.31	--	24.60
	c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	--	--	--	--
2	Stock Option	1,142.40	464.10	--	1,606.50
3	Sweat Equity			--	
4	Commission		0	--	213.51

		213.51			
	- as % of profit	--	--	--	--
	- Others, specify	--	--	--	--
5	Others, please specify	--	--	--	--
	<b>Total</b>	<b>1,547.76</b>	<b>526.07</b>	<b>3.33</b>	<b>2,077.16</b>
	Ceiling as per the Act				

**VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL**