

Form No. MGT-9**EXTRACT OF ANNUAL RETURN****as on the financial year ended on March 31, 2020**

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

Sr. No	Particulars	Information
i.	CIN	L99999PN1990PLC059487
ii.	Registration Date	19/12/1990
iii.	Name of the Company	ALICON CASTALLOY LTD
iv.	Category / Sub-Category of the Company	Company Limited by Shares/ Indian Non-Government Company
v.	Address of the Registered Office and Contact details	Survey No.1426, Village Shikrapur, Taluka Sirur, Dist. Pune - 412 208, Maharashtra
Vi	Whether listed Company, Yes / No	Yes
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Universal Capital Securities Pvt. Ltd., 21, Shakil Niwas, Mahakali Caves Road, Andheri (East), Mumbai - 400 093 Tel: 022-28207203

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sr. No	Name and Description of Main Products / Services	NIC Code of the Product / Service	% to Total Turnover of the Company
1	Aluminium alloy castings	2008-24320	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

Sr. No.	Name and Address of the Company	CIN/GLN	Holding / Subsidiary / Associate	% of Shares held	Applicable Section
1	Alicon Holding GmbH	--	Subsidiary	100	2(87)(ii)
2	Illichmann Castalloy S.R.O	--	Subsidiary	100	2(87)(ii)
3	Illichmann Castalloy GmbH	--	Subsidiary	100	2(87)(ii)

Sub-total (B)(1):-	10911	7335	18246	0.13	24269	0	24269	0.18	-0.05
2. Non-Institutions									
a)Bodies Corporate									
i) Indian	81969	0	81969	0.6	118256	0	118256	0.86	-0.26
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital up to Rs. 2/- Lakh	1705608	61422	1767030	12.95	1676 033	49 622	1725655	12.53	0.42
ii)Individual shareholders holding nominal share capital in excess of Rs. 2/- Lakh	744697	0	744697	5.46	863873	0	863873	6.27	-0.81
Any others (specify)									
NRI/OCB	134849	0	134849	0.99	121354	0	121354	0.88	0.11
Clearing members	36812	0	36812	0.37	37745		37745	0.27	0.1
Directors & relatives	0	0	0	0	0	0	0	0	0
Foreign collaborators	1960000	0	1960000	14.37	1960000	0	1960000	14.23	0.14
Others	195105	0	195105	1.34	214031	0	214031	1.55	-0.21
Sub-total (B)(2):-	4859040	61422	4920462	36.08	4991 292	49622	5040914	36.59	-0.51
Total Public Shareholding (B)=(B) (1)+ (B)(2)	4869951	68757	4938708	36.21	5015 561	49 622	5065 183	36.77	-0.56
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	13571364	68757	13640121	100	13725499	49622	13775121	100	0

(ii) Shareholding of Promoters:

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the Company	% of Shares pledged / encumbered to total Shares	No. of Shares	% of total Shares of the Company	% of Shares pledged / encumbered to total Shares	
1	S. Rai	834444	6.24	0	841469	6.11	0	0.13
2	Nastic Trading LLP	6762822	50.6	0	6762822	49.09	0	1.51
3	Pamela Trading LLP	286000	2.14	0	286000	2.08	0	0.06
4	Atlas Castalloy Ltd.	99820	0.75	0	99820	0.72	0	0.03
5	Skyblue Trading & Invt. Pvt. Ltd.	254880	1.91	0	254880	1.85	0	0.06
6	U.C. Rai Holding Pvt. Ltd	340998	2.55	0	340998	2.48	0	0.07
7	Usha Rai	100	Negligible	0	100	Negligible	0	Negligible
8	Meenal Gidwani	20	Negligible	0	20	Negligible	0	Negligible
9	Vinita Chandra	20	Negligible	0	20	Negligible	0	Negligible
10	Mithras Trading LLP	122273	0.91	0	122273	0.89	0	0.02
11	Divya Rai	12	Negligible	0	12	Negligible	0	Negligible
12	Shefali Rai	12	Negligible	0	12	Negligible	0	Negligible
13	Ishaan Rai	12	Negligible	0	12	Negligible	0	Negligible
Total		8701413	65.1	0	8701413	63.23	0	1.87

(iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sr. No.	Name and PAN	Shareholding at the beginning of the year		Date	Increase / Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	Mr. S. Rai						
	At the Beginning of the year	834444	6.27	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year						
	20-03-2020				4500	838944	6.09
	31-03-2020				2525	841469	6.11

	At the End of the year			31-03-2020		841469	6.11
2	Nastic Trading LLP						
	At the Beginning of the year	6762822	50.6	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		6762822	49.57
3	Pamela Trading LLP						
	At the Beginning of the year	286000	2.14	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		286000	2.1
4	Atlas Castalloy Ltd.						
	At the Beginning of the year	99820	0.75	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		99820	0.73
5	Skyblue Trading & Invt. Pvt. Ltd.						
	At the Beginning of the year	254880	1.91	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		254880	1.87
6	U.C. Rai Holding Pvt. Ltd						
	At the Beginning of the year	340998	2.55	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	--	-
	At the End of the year			31-03-2020		340998	2.5
7	Mrs. Usha Rai						
	At the Beginning of the year	100	Negligible	01-04-2019			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		100	Negligible
8	Mrs. Meenal Gidwani						
	At the Beginning of the year	20	Negligible	01-04-2019			

	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		20	Negligible
	Mrs. Vinita Chandra						
	At the Beginning of the year	20	Negligible	01-04-2019			
9	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		20	Negligible
	Mithras Trading LLP						
	At the Beginning of the year	122273	0.91	01-04-2019			
10	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		122273	0.91
	Divya Rai						
	At the Beginning of the year	12	Negligible	01-04-2019			
11	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		12	Negligible
	Shefali Rai						
	At the Beginning of the year	12	Negligible	01-04-2019			
12	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		12	Negligible
	Ishaan Rai						
	At the Beginning of the year	12	Negligible	01-04-2019			
13	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-03-2020		12	Negligible

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Name of Shareholder	Shareholding at the beginning of the year		Date	Increase /Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	ENKEI CORPORATION						
	At the Beginning of the year	1960000	14.23	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-Mar-20		1960000	14.23
2	NIRAV MAHENDRA SHETH						
	At the Beginning of the year	145509	1.06	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-Mar-20		145509	1.06
3	SAVITHA RAGHAVAN						
	At the Beginning of the year	46210	0.34	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-Mar-20		46210	0.34
4	ASHWANI KUMAR						
	At the Beginning of the year	45000	0.33	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-Mar-20		45000	0.33
5	PATHPIONEER ADVISORS LLP						
	At the Beginning of the year	Nil		01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year			20-Mar-20	40300	0.29	
	At the End of the year			31-Mar-20		40300	0.29

6	SMITA DIVYESH SHAH						
	At the Beginning of the year	30923	0.22	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	At the End of the year			31-Mar-20		30923	0.23
7	Sushil Finance Private Limited						
	At the Beginning of the year	23057	0.17	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year				-	-	-
	05-04-2019				141	23198	0.17
	12-04-2019				602	23800	0.17
	19-04-2019				382	24182	0.18
	26-04-2019				-204	23978	0.17
	03-05-2019				217	24195	0.18
	10-05-2019				1434	25629	0.19
	17-05-2019				-234	25395	0.18
	24-05-2019				892	26287	0.19
	31-05-2019				-662	25625	0.19
	07-06-2019				1623	27248	0.2
	14-06-2019				-2401	24847	0.18
	21-06-2019				445	25292	0.18
	29-06-2019				-172	25120	0.18
	05-07-2019				206	25326	0.18
	12-07-2019				220	25546	0.19
	19-07-2019				-18	25528	0.19
	26-07-2019				306	25834	0.19
	02-08-2019				447	26281	0.19
	09-08-2019				-79	26202	0.19
	16-08-2019				-46	26156	0.19
	23-08-2019				355	26511	0.19
	30-08-2019				-176	26335	0.19
	06-09-2019				912	27247	0.20
	13-09-2019				684	27931	0.20
	20-09-2019				-580	27351	0.20
	30-09-2019				-14	27337	0.20
	04-10-2019				-233	27104	0.20
11-10-2019				482	27586	0.20	
18-10-2019				2745	30331	0.22	
25-10-2019				563	30894	0.23	

	01-11-2019				-197	30697	0.23
	08-11-2019				-456	30241	0.22
	15-11-2019				31	30272	0.22
	22-11-2019				25	30297	0.22
	29-11-2019				-14	30283	0.22
	13-12-2019				568	30851	0.23
	20-12-2019				105	30956	0.23
	27-12-2019				-115	30841	0.23
	31-12-2019				831	31672	0.23
	03-01-2020				825	32497	0.24
	10-01-2020				-175	32322	0.24
	17-01-2020				-136	32186	0.24
	24-01-2020				275	32461	0.24
	31-01-2020				-307	32154	0.24
	07-02-2020				587	32741	0.24
	14-02-2020				-210	32531	0.24
	21-02-2020				696	33227	0.24
	28-02-2020				-2305	30922	0.23
	06-03-2020				-111	30811	0.23
	13-03-2020				1203	32014	0.24
	20-03-2020				-1002	31012	0.23
	31-03-2020				-995	30017	0.22
	At the End of the year			31-Mar-20		30017	0.22
	VIJAY MOHAN KARNANI						
	At the Beginning of the year	26000	0.19	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year						
8	10-01-2020				4358	21642	0.16
	17-01-2020				-10603	11039	0.08
	31-01-2020				-2901	8138	0.06
	07-02-2020				-3507	4631	0.03
	14-02-2020				-1737	2894	0.02
	21-02-2020				-2141	753	0.01
	28-02-2020				-753	0	0.00
	At the End of the year			31-Mar-20		0	0.00
		KAMLESH N SHAH					
	At the Beginning of the year	NIL	0	01-Apr-19			
9	Date wise Increase / Decrease in Shareholding during the Year					-	-
	31-Mar-20				24500	24500	0.18

	At the End of the year			31-Mar-20		24500	0.18
10	ZELUS ADVISORS LLP						
	At the Beginning of the year	24472	0.18	01-Apr-19			
	Date wise Increase / Decrease in Shareholding during the Year					-	-
	31-12-2019				-20000	4472	0.03
	14-02-2020				20000	24472	0.18
	20-03-2020				-24472	0	0.00
	At the End of the year			31-Mar-20		21000	0.15

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Name of Director / KMP	Shareholding at the beginning of the year		Date	Increase /Decrease in Shareholding	Cumulative Shareholding during the year	
		No. of Shares	% of total Shares of the Company			No. of Shares	% of total Shares of the Company
1	Mr. S. Rai, Managing Director						
	At the Beginning of the year	834,444	6.24	1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	20-03-2020				4500	838944	6.09
	31-03-2020				2525	841469	6.11
	At the End of the year			31-Mar-2020		841469	6.11
2	Mrs. Pamela S. Rai, Director						
	At the Beginning of the year			1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020	--	--	--
3	Mr. Anil D. Harollikar, Director						
	At the Beginning of the year	200	Negligible	1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020		200	Negligible
4	Mr. Vinay H. Panjabi, Director						
	At the Beginning of the year			1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--

	At the End of the year			31-Mar-2020	--	--	--
5	Mr. Ajay Nanavati, Director						
	At the Beginning of the year			1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020	--	--	--
6	Mr. Junichi Suzuki, Director						
	At the Beginning of the year			1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020	--	--	--
7	Mrs. Veena Mankar, Director						
	At the Beginning of the year			1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020	--	--	--
8	Mr. Rajeev Sikand, CEO						
	At the Beginning of the year	370,638	2.69	1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year						
	20/09/2019				96,000	466,638	3.39
	At the End of the year			31-Mar-2020		466,638	3.39
9	Mr. Vimal Gupta, CFO						
	At the Beginning of the year	289,100	1.35	1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year						
	20/09/2019				39,000	222,550	1.62
	20/03/2020				15,824	206,726	1.50
	At the End of the year			31-Mar-2020		206,726	1.50
10	Mrs. Swapnal Patane, Company Secretary						
	At the Beginning of the year	NA	NA	1-Apr-2019			
	Date wise Increase / Decrease in Shareholding during the Year				--	--	--
	At the End of the year			31-Mar-2020	--	--	--

V. INDEBTEDNESS :

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

Particulars	Secured Loans Excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (01/04/2019)				
i) Principal Amount	26,333	2,190	-	28,523
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	70		-	70
Total (i+ii+iii)	26,403	2,190	-	28,592
Change in Indebtedness during the financial year				
Addition	7,115	1,500	-	8,615
Reduction	2,955	1,490	-	4,445
Net Change	4,160	10		4,169
Indebtedness at the end of the financial year (31/03/2020)				
i) Principal Amount	30,563	2,199	-	32,762
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	145	82	-	227
Total (i+ii+iii)	30,708	2,281	-	32,989

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

(Rs in Lakhs)

Sr. No.	Particulars of Remuneration	Mr. S. Rai, Managing Director
1	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	48.35
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.03

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sr. No.	Particulars of Remuneration	Mr. Rajeev Sikand, CEO	Mr. Vimal Gupta, CFO	Ms. Swapnal Patane, Company Secretary	Total
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	171.56	57.54	8.22	237.32
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.90	4.32	--	5.22
	c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	--	--	--	
2	Stock Option	360.00	146.25	--	506.25
3	Sweat Equity			--	
4	Commission	142.15	--	--	142.15
	- as % of profit	--	--	--	
	- Others, specify	--	--	--	
5	Others, please specify	--	--	--	
	Total	674.62	208.31	8.22	891.15
	Ceiling as per the Act				

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL