

## General information about company

Scrip code	531147
NSE Symbol	ALICON
MSEI Symbol	NA
ISIN	INE062D01024
Name of the company	ALICON CASTALLOY LMITIED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

## Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	14.2
As on the end of previous 1st quarter	100	14.18
As on the end of previous 2nd quarter	100	14.34
As on the end of previous 3rd quarter	100	14.24
As on the end of previous 4th quarter	100	14.26

## Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		
								Class eg: X	Class eg:y	Total
(A)	Promoter & Promoter Group	13	8976368			8976368	55.71	8976368	8976368	55.71
(B)	Public	9919	7135472			7135472	44.29	7135472	7135472	44.29
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									

(C2)	Shares held by Employee Trusts													
	Total	9932	1611840			1611840	100		1611840		1611840	100		

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
(A)	Promoter & Promoter Group				55.71	266430	2.97			8976368				
(B)	Public				44.29					7099650	0	0	0	
(C)	Non Promoter-Non Public													
(C1)	Shares underlying DRs													
(C2)	Shares held by Employee Trusts													
	Total				100	266430	1.65			16076018	0	0	0	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	
								Class eg: X	Class eg:y	Total		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)	Individuals/Hindu undivided Family	7	1109575			1109575	6.89	1109575		1109575	6.89	
(d)	Any Other (specify)	6	7866793			7866793	48.83	7866793		7866793	48.83	
	Sub-Total (A)(1)	13	8976368			8976368	55.71	8976368		8976368	55.71	
(2)	Foreign											
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	13	8976368			8976368	55.71	8976368		8976368	55.71	
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)	Mutual Funds	3	1372631			1372631	8.52	1372631		1372631	8.52	
	Sub-Total (B)(1)	3	1372631			1372631	8.52	1372631		1372631	8.52	
(2)	Institutions (Foreign)											
(d)	Foreign Portfolio Investors Category I	2	15473			15473	0.1	15473		15473	0.1	
	Sub-Total (B)(2)	2	15473			15473	0.1	15473		15473	0.1	
(3)	Central Government / State Government(s)											
(4)	Non-institutions											

(c)	Key Managerial Personnel	2	701879	701879	4.36	701879	701879	4.36
(f)	Investor Education and Protection Fund (IEPF)	1	39424	39424	0.24	39424	39424	0.24
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	9291	1976923	1976923	12.27	1976923	1976923	12.27
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	4	337998	337998	2.1	337998	337998	2.1
(i)	Non Resident Indians (NRIs)	214	124998	124998	0.78	124998	124998	0.78
(k)	Foreign Companies	1	2226430	2226430	13.82	2226430	2226430	13.82
(l)	Bodies Corporate	94	120276	120276	0.75	120276	120276	0.75
(m)	Any Other (specify)	307	219440	219440	1.36	219440	219440	1.36
	Sub-Total (B)(4)	9914	5747368	5747368	35.67	5747368	5747368	35.67
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	9919	7135472	7135472	44.29	7135472	7135472	44.29

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Total ( A+B+C2 )	9932	16111840	16111840	100	16111840	16111840	100
Total (A+B+C )	9932	16111840	16111840	100	16111840	16111840	100

## Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)	Sub-category (i)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)				6.89	266430	24.01		1109575			
(d)				48.83	0	0		7866793			
				Sub-Total (A) (1)	55.71	266430	2.97	8976368			
(2)	Foreign										
				Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	55.71	266430	2.97	8976368			
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)				8.52				1372631	0	0	0
				Sub-Total (B) (1)	8.52			1372631	0	0	0
(2)	Institutions (Foreign)										
(d)				0.1				15473	0	0	0
				Sub-Total (B) (2)	0.1			15473	0	0	0
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)				4.36				701879	0	0	0

(f)		0.24				39424	0	0	0
(g)		12.27				1941101	0	0	0
(h)		2.1				337998	0	0	0
(i)		0.78				124998	0	0	0
(k)		13.82				2226430	0	0	0
(l)		0.75				120276	0	0	0
(m)		1.36				219440	0	0	0
Sub-Total (B) (4)		35.67				5711546	0	0	0

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		44.29				7099650	0	0	0
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C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Total ( A+B+C2 )	100	266430	1.65	16076018
Total (A+B+C )	100	266430	1.65	16076018

## Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Shailendrajit Charanjit Rai	Vinita Rai	Usha Rai	Meenal Gidwani	Divya Shailendrajit Rai	Ishaan Shailendrajit Rai	Shefali Rai
PAN (II)	AABPR5786K	AADPC1123B	ADWPR9414J	AAEPG3863D	AJTPR5837N	AKGPR6157F	AJTPR5838D
No. of fully paid up equity shares held (IV)	1107899	1520	100	20	12	12	12
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1107899	1520	100	20	12	12	12
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.88	0.01	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1107899	1520	100	20	12	12	12
Class eg:y							
Total	1107899	1520	100	20	12	12	12
Total as a % of Total Voting rights	6.88	0.01	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							

Shareholding ,  
as a % assuming  
full conversion  
of convertible  
securities (as a  
percentage of  
diluted share  
capital) (XI)=  
(VII)+(Xi)(a) As  
a % of  
(A+B+C2)

6.88	0.01	0	0	0	0	0	0
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Number of Locked in shares (XII)

No. (a)	266430	0	0	0	0	0	0
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As a % of total  
Shares held (b)

24.05	0	0	0	0	0	0	0
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Number of Shares pledged or otherwise encumbered (XIII)

No. (a)

As a % of total  
Shares held (b)

Number of  
equity shares  
held in  
dematerialized  
form (XIV)

1107899	1520	100	20	12	12	12	12
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Reason for not providing PAN

Reason for not  
providing PAN

Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group
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## Individuals/Hindu undivided Family

Serial No.

Name of the  
Shareholders (I) [Click here to go back](#)

PAN (II) Total

No. of fully paid  
up equity shares  
held (IV) 1109575

No. Of Partly  
paid-up equity  
shares held (V)

No. Of shares  
underlying  
Depository  
Receipts (VI)

Total nos. shares  
held (VII) =  
(IV)+(V)+ (VI) 1109575

Shareholding as  
a % of total no.  
of shares  
(calculated as  
per SCRR,  
1957) (VIII) As  
a % of  
(A+B+C2) 6.89

Number of Voting Rights held in each class of securities (IX)

Class eg:X 1109575

Class eg:y

Total 1109575

Total as a % of  
Total Voting  
rights 6.89

No. Of Shares  
Underlying  
Outstanding  
convertible  
securities (X)

No. of Shares  
Underlying  
Outstanding  
Warrants (Xi)

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) 6.89

Number of Locked in shares (XII)

No. (a) 266430

As a % of total Shares held (b) 24.01

Number of Shares pledged or otherwise encumbered (XIII)

No. (a)

As a % of total Shares held (b)

Number of equity shares held in dematerialized form (XIV) 1109575

Reason for not providing PAN

Reason for not providing PAN

Shareholder type

## Any Other (specify)

Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Other	Other	Other
Name of the Shareholders (I)	U. C. Rai Holdings Private Limited	Skyblue Trading And Investments P Ltd	Atlas Castalloy Limited	Nastic Trading Llp	Pamela Trading Llp	Mithras Trading Llp
PAN (II)	AAACU0654K	AAECS5669L	AABCA0742K	AAJFN0095R	AANFP2396M	AAWFM6305L
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	340998	254880	99820	6762822	286000	122273
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	340998	254880	99820	6762822	286000	122273
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.12	1.58	0.62	41.97	1.78	0.76
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	340998	254880	99820	6762822	286000	122273
Class eg:y						
Total	340998	254880	99820	6762822	286000	122273

Total as a % of Total Voting rights	2.12	1.58	0.62	41.97	1.78	0.76
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.12	1.58	0.62	41.97	1.78	0.76
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	340998	254880	99820	6762822	286000	122273
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

### Any Other (specify)

Serial No.

Category [Click here to go back](#)

Name of the Shareholders (I)

PAN (II) Total

No. of the Shareholders (I) 6

No. of fully paid up equity shares held (IV) 7866793

No. Of Partly paid-up equity shares held (V)

No. Of shares underlying Depository Receipts (VI)

Total nos. shares held (VII) = (IV)+(V)+ (VI) 7866793

Shareholding as a % of total no. of shares 48.83

(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)

Number of Voting Rights held in each class of securities (IX)

Class eg: X 7866793

Class eg:y

Total 7866793

Total as a % of Total Voting rights 48.83

No. Of Shares Underlying Outstanding convertible securities (X)

No. of Shares Underlying Outstanding Warrants (Xi)

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) 48.83

Number of Locked in shares (XII)

No. (a) 0

As a % of total Shares held (b) 0

Number of Shares pledged or otherwise encumbered (XIII)

No. (a)

As a % of total Shares held (b)

Number of equity shares held in dematerialized form (XIV) 7866793

Reason for not providing PAN

Reason for not providing PAN

Shareholder type

## Mutual Funds

Serial No.	1	2	
Name of the Shareholders (I)	Axis Mutual Fund Trustee Limited A/C Axis Mutual Fund A/C Axis Small Cap Fund	Idfc Emerging Businesses Fund	<a href="#">Click here to go back</a>
PAN (II)	AACTA5925A	AAETS9556K	Total
No. of fully paid up equity shares held (IV)	1011983	353690	1365673
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			



Total nos. shares held (VII) = (IV)+(V)+ (VI)	1011983	353690	1365673
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.28	2.2	8.48
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1011983	353690	1365673
Class eg:y			
Total	1011983	353690	1365673
Total as a % of Total Voting rights	6.28	2.2	8.48
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.28	2.2	8.48
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1011983	353690	1365673
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

## Foreign Companies

Searial No.	1	
Name of the Shareholders (I)	Enkei Corporation	<a href="#">Click here to go back</a>
PAN (II)	AACCE4515D	Total
No. of fully paid up equity shares held (IV)	2226430	2226430

No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2226430	2226430
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.82	13.82
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2226430	2226430
Class eg:y		
Total	2226430	2226430
Total as a % of Total Voting rights	13.82	13.82
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.82	13.82
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2226430	2226430
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

## Key Managerial Personnel

Serial No. 1

Name of the Shareholders (I)	Rajeev Sikand	<a href="#">Click here to go back</a>
PAN (II)	AWVPS0104N	Total
No. of fully paid up equity shares held (IV)	558638	558638
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	558638	558638
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.47	3.47
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	558638	558638
Class eg:y		
Total	558638	558638
Total as a % of Total Voting rights	3.47	3.47
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.47	3.47
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	558638	558638
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category	0	0

(iii)

**Any Other (specify)**

Serial No.	1	2	3	4	
Category	Trusts	LLP	HUF	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	1	10	286	10	307
No. of fully paid up equity shares held (IV)	600	18992	196339	3509	219440
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	600	18992	196339	3509	219440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.12	1.22	0.02	1.36
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	600	18992	196339	3509	219440
Class eg:y					
Total	600	18992	196339	3509	219440
Total as a % of Total Voting rights	0	0.12	1.22	0.02	1.36
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.12	1.22	0.02	1.36
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					

Number of equity shares held in dematerialized form (XIV)	600	18992	196339	3509	219440
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Reason for not providing PAN

Reason for not providing PAN

Sub-categorization of shares

Shareholding (No. of shares) under

Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

## significant beneficial owners

Details of the SBO				Details of the registered owner				Details of holding/ exercise of right reporting company, whether direct (						
Sr. No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Ex of cor
1	SHAIENDRA RAI	AABPR5786K		India		NASTIC TRADING LLP	AAJFN0095R		India		6.88	6.88		Nc
<b>Total:</b>											<b>6.88</b>	<b>6.88</b>	<b>0</b>	